CASE STUDY

# Wealth Management Advisor Agent

WEALTH MANAGEMENT

AI-orchestrated advisor agent enabling natural language portfolio queries, insights and recommendations

## KEY HIGHLIGHTS

- 24×7 intelligent client engagement without advisor intervention
- Reduced advisor bandwidth on routine queries
- Higher client satisfaction & trust
- Improved advisor productivity per relationship
- Consistent, compliant client communication

| 24×7 | ↑ 3× | ↑ NPS | 100% |
|---|---|---|---|
| **Client Engagement** | **Advisor Productivity** | **Client Satisfaction** | **Compliance Guardrails** |
| without advisor intervention | per client relationship | faster response times | non-binding unless approved |

## AI AGENT WORKFLOW

*Powered by Avintra*

### 1 CLIENT PORTFOLIO INGESTION

**Portfolio & Context Loading**

- Portfolio holdings (equity, MF, bonds, AIFs, PMS)
- Transaction history & asset allocation models
- Market data feeds

### 2 NATURAL LANGUAGE QUERY

**Advisor Agent**

- Intent detection & query classification
- Context-aware portfolio reasoning
- Conversational memory (session-bound)

### 3 PORTFOLIO ANALYTICS

**Insights Agent**

- Performance attribution (asset / sector / fund)
- Risk metrics (volatility, drawdown, concentration)
- Asset allocation drift analysis

### 4 ADVISORY GUARDRAILS

**Rules Orchestration**

- Generate insight-level suggestions, not execution
- Ensure recommendations remain non-binding
- Unless advisor-approved before client delivery

### 5 EXCEPTION HANDLING

**Advisor Work-bench**

- Client query context presented
- AI-generated analysis & suggested response drafts
- Approval / edit before client delivery

### 6 PROACTIVE COMMUNICATION

**Reporting and Alerts Engine**

- Portfolio summaries & market impact explanations
- Quarterly review narratives
- Personalized insights & alerts


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